

**CONTRACT #3
RFS # 317.30-060**

**Department of Finance &
Administration
Office for Information
Resources (OIR)**

**VENDOR:
International Business
Machines Corporation (IBM)**



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AUG 30 2006

FISCAL REVIEW

An amendment must be presented to the Fiscal Review Committee (FRC) if it meets any of the following conditions:

- A non-competitive amendment request is submitted to OCR;
- Increases the maximum liability;
- Adds new services or changes the scope of services; or
- Extends the term of the contract beyond the original term.

Use the following checklist to ensure copies of the proper documents have been submitted to FRC:



ORIGINAL CONTRACT

- If *new* non-competitive contract, actual language of the proposed contract (can be in draft form if necessary).



SUMMARY SHEET FOR CONTRACT

N/A



REQUEST FOR CONTRACT (Competitive or Non-Competitive)



ALL PRIOR AMENDMENTS



SUMMARY SHEET FOR EACH PRIOR AMENDMENT



REQUEST FOR NON-COMPETITIVE AMENDMENT



- If *new* amendment, actual language of the proposed amendment (can be in draft form if necessary).



SUMMARY LETTER



- Detailing terms of contract or amendment and the basic justification for the non-competitive procurement.

N/A

- If request is submitted less than 60 days before effective date, a detailed explanation for why the request is late.



ANY SUPPORTING DOCUMENTS



FULLY EXECUTED COPY OF FINAL DOCUMENT

FRC STAFF COMMITTEE CONTACT INFORMATION

Leni Chick

8th Floor, Rachel Jackson Building

(615) 253-2048 (direct)

(615) 253-3018 (fax)

leni.chick@legislature.state.tn.us



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FISCAL REVIEW

**STATE OF TENNESSEE
DEPARTMENT OF FINANCE AND ADMINISTRATION
OFFICE FOR INFORMATION RESOURCES
312 EIGHTH AVENUE NORTH
SUITE 1600, TENNESSEE TOWER
NASHVILLE, TENNESSEE 37243-0288
(615) 741-3700
FAX (615) 532-0471**

**DAVE GOETZ
COMMISSIONER**

**BILL EZELL
CHIEF INFORMATION OFFICER**

August 30, 2006

Mr. James W. White
Executive Director
Fiscal Review Committee
8th Floor, Rachel Jackson Bldg.
Nashville, TN

Dear Sir:

Please find attached, for the Fiscal Review Committee's consideration, documentation of a Non-Competitive Amendment request pertaining to the State's contract with International Business Machines Corporation (IBM) for the provision of Disaster Recovery Services for the State's Central Computing Facility. The Department of Finance and Administration respectfully requests to be placed on the agenda to present this request at the next Fiscal Review Committee meeting.

To facilitate the Committee's review, we present the following summary of the amendment:

1. Extends contract end date by six months – from October 31, 2006 to April 30, 2007
2. Contract began November 1, 2001 and ends October 31, 2006; therefore, no extensions allowable without non-competitive amendment.
3. Amendment will extend the contract End Date and add additional funds for the extension period.
4. RFP for a new contract has been written and reviewed by OCR. Additional time is needed to respond to OCR's comments, allow for the Comptroller's review and approval, release the RFP and award the contract. Release of RFP is planned for January 2007.
5. Contract award expected April 2007.

Thank you for your consideration of this request. We look forward to appearing before the Committee at its next scheduled meeting.

Sincerely,

Bill Ezell
Chief Information Officer

REQUEST: NON-COMPETITIVE AMENDMENT

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APPROVED

AUG 30 2006

FISCAL REVIEW

Commissioner of Finance & Administration

Date:

EACH REQUEST ITEM BELOW MUST BE DETAILED OR ADDRESSED AS REQUIRED.

1) RFS #	317.30-060-07	
2) State Agency Name :	Finance and Administration	
EXISTING CONTRACT INFORMATION		
3) Service Caption :	Disaster Recovery Services for the State's Central Computing Facility.	
4) Contractor :	International Business Machines Corporation (IBM)	
5) Contract #	FA-02-14760-00	
6) Contract Start Date :	November 1, 2001	
7) <u>Current</u> Contract End Date IF <u>all</u> Options to Extend the Contract are Exercised :	October 31, 2006	
8) <u>Current</u> Total Maximum Cost IF <u>all</u> Options to Extend the Contract are Exercised :	\$2,401,035.00	
PROPOSED AMENDMENT INFORMATION		
9) <u>Proposed</u> Amendment #	FA-02-14760-04	
10) <u>Proposed</u> Amendment Effective Date : (attached explanation required if date is < 60 days after F&A receipt)	November 1, 2006	
11) <u>Proposed</u> Contract End Date IF <u>all</u> Options to Extend the Contract are Exercised :	April 30, 2007	
12) <u>Proposed</u> Total Maximum Cost IF <u>all</u> Options to Extend the Contract are Exercised :	\$2,929,578.00	
13) Approval Criteria : (select one)	<input checked="checked" type="checkbox"/> use of Non-Competitive Negotiation is in the best interest of the state <input type="checkbox"/> only one uniquely qualified service provider able to provide the service	
14) Description of the Proposed Amendment Effects & Any Additional Service :		
This amendment will extend the current contract term six months and increase the maximum liability to accommodate the additional months of service.		
15) Explanation of Need for the Proposed Amendment :		
For the following reasons, the State needs additional time to complete the RFP approval process and subsequent contract award:		

1. The Procurement and Contract Management (PCM) area has received an unprecedented volume of RFPs and contracts to develop and review. With only two employees in the area, an additional staff member was hired in April and another one in July; however, a learning curve is present that reduces the unit's response time;
2. Critical priorities, such as Cover Tennessee, have resulted in other work (including Disaster Recovery) receiving secondary priority; and
3. The RFP coordinator assigned to Disaster Recovery has a family illness which resulted in over a month of reduced daily work hours and her hours are still being affected.

Based on the factors above, the 8 month lead time allotted was not enough to fully complete the process and have a new contract in place by November 1, 2006. RFP release is scheduled for January 2007.

16) Name & Address of Contractor's Current Principal Owner(s) :
(not required if proposed contractor is a state education institution)

International Business Machines Corporation (IBM)
150 4th Avenue North
Nashville, TN 37219

17) Documentation of Office for Information Resources Endorsement :
(required only if the subject service involves information technology)

select one:

☐

Documentation Not Applicable to this Request

☒

Documentation Attached to this Request

18) Documentation of Department of Personnel Endorsement :
(required only if the subject service involves training for state employees)

select one:

☒

Documentation Not Applicable to this Request

☐

Documentation Attached to this Request

19) Documentation of State Architect Endorsement :
(required only if the subject service involves construction or real property related services)

select one:

☒

Documentation Not Applicable to this Request

☐

Documentation Attached to this Request

20) Description of Procuring Agency Efforts to Identify Reasonable, Competitive, Procurement Alternatives :

The State began the RFP process in March 2006. To date, the RFP has been completed and submitted to OCR for initial review. OCR has reviewed the RFP and returned the document to F&A and is waiting for F&A's response and/or correction before approving and submitting to the Office of the Comptroller for their final review and approval.

21) Justification for the Proposed Non-Competitive Amendment :

As stated in #20 above, the State has a RFP in process; however, it is felt that the remaining two months before the contract end date of October 31st does not provide adequate time to complete the outstanding RFP process items and award a contract.

Another factor that was taken into account involves past history in awarding the current contract. IBM currently holds the Disaster Recovery contract which was awarded in November 2001. There were two RFP attempts to find a vendor. The first RFP attempt resulted in two vendors proposing but they failed to meet Mandatory requirements. Specifically, both proposers provided written objections to numerous terms of the RFP and pro forma contract and would not agree to terms. The State issued a second RFP and the contract was awarded to IBM; however, negotiations were still necessary that required additional time in the Schedule of Events to obtain final signatures.

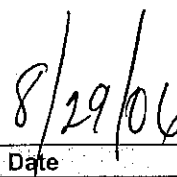
Based on the history in awarding the current contract and to accommodate the remaining RFP review/approval cycle and subsequent contract award, the State is requesting a six month extension.

REQUESTING AGENCY HEAD SIGNATURE & DATE :

(must be signed & dated by the ACTUAL procuring agency head as detailed on the Signature Certification on file with OCR— signature by an authorized signatory will be accepted only in documented exigent circumstances)



Agency Head Signature



Date

**AMENDMENT FOUR
TO CONTRACT FA-02-14760-00**

This Contract, by and between the State of Tennessee, Department of Finance and Administration, hereinafter referred to as the State, and International Business Machines Corporation, hereinafter referred to as the Contractor, is hereby amended as follows:

1. Delete Section B.1 in its entirety and insert the following in its place:
 - B.1. Contract Term. This contract shall be effective for the period commencing on 11/01/2001 and ending on 04/30/2007. The State shall have no obligation for services rendered by the Contractor which are not performed within the specified period. However, if the State declares a Disaster within forty-two (42) days of the contract end date of 04/30/2007, the end date shall automatically be extended to cover the period for which Disaster recovery services are needed by the State.
2. Delete Section C.1 in its entirety and insert the following in its place:
 - C.1. Maximum Liability. Except as described below, the maximum liability of the State under this Contract shall not exceed Two Million Nine Hundred Twenty Nine Thousand Five Hundred Seventy Eight Dollars (\$2,929,578.00). The Service Rates in Section C.3 shall constitute the entire compensation due the Contractor for the Service and all of the Contractor's obligations hereunder. The Service Rates include, but are not limited to, all applicable fees, overheads, and all other direct and indirect costs incurred or to be incurred by the Contractor.

The Contractor is not entitled to be paid the maximum liability for any period under the Contract or any extensions of the Contract for work not requested by the State. The maximum liability represents available funds for payment to the Contractor and does not guarantee payment of any such funds to the Contractor under this Contract unless the State requests work and the Contractor performs said work. In which case, the Contractor shall be paid in accordance with the Service Rates detailed in Section C.3. The State is under no obligation to request work from the Contractor in any specific dollar amounts or to request any work at all from the Contractor during any period of this Contract.

The maximum liability stated above does not include daily usage charges nor incident-based charges to be incurred by the State in the event of an actual Disaster. Thus, in the event the State declares a Disaster, the Contract shall be amended to increase the maximum liability to cover a) daily usage charges at the rates set forth in Attachment D to this Contract and b) incident-based charges as applicable.

The other terms and conditions of this Contract not amended hereby shall remain in full force and effect.

IN WITNESS WHEREOF:

INTERNATIONAL BUSINESS MACHINES CORPORATION:

KOBER L. SHARP, SENIOR ACCOUNTING MGR., IBM BCRS DATE

PRINTED NAME AND TITLE OF CONTRACTOR SIGNATORY

DEPARTMENT OF FINANCE AND ADMINISTRATION:

M. D. GOETZ, JR., COMMISSIONER

DATE

APPROVED:

DEPARTMENT OF FINANCE AND ADMINISTRATION:

M. D. GOETZ, JR., COMMISSIONER

DATE

COMPTROLLER OF THE TREASURY:

JOHN G. MORGAN, COMPTROLLER OF THE TREASURY

DATE

REQUEST: RULE EXCEPTION**APPROVED****Commissioner of Finance & Administration****Date:****RFS #** 317.30 — 060 — 07**INFORMATION ABOUT THE EXCEPTION(S) REQUESTED****SUBJECT RULE NUMBER(S) :**

0620-3-3-.07(5) - for an exception permitting a contract term greater than 60 months.

DESCRIPTION OF EXCEPTION(S) :

The department requests a rule exception to permit a 66 month contract. The Office for Information Resources (OIR) requests approval to amend contract number FA-02-14760-00 to change the end date from October 31, 2006 to April 30, 2007.

JUSTIFICATION : (compelling rationale for and validation of rule exception request)

The State allotted an 8 month lead time for the RFP process and subsequent contract award. The RFP process began in March 2006. To date, the RFP has been completed and submitted to OCR for initial review. OCR has reviewed the RFP and returned the document to F&A and is waiting for F&A's response and/or correction before approving and submitting to the Office of the Comptroller for their final review and approval.

For the following reasons, the State needs additional time to complete the RFP approval process and subsequent contract award:

1. The Procurement and Contract Management (PCM) area has received an unprecedented volume of RFPs and contracts to develop and review. With only two employees in the area, an additional staff member was hired in April and another one in July; however, a learning curve is present that reduces the unit's response time;
2. Critical priorities, such as Cover Tennessee, have resulted in other work (including Disaster Recovery) receiving secondary priority;
3. The RFP coordinator assigned to Disaster Recovery has a family illness which resulted in over a month of reduced daily work hours and her hours are still being affected; and
4. Almost three weeks were required during the previous contract signing phase to negotiate with the current vendor.

Based on the factors above, the 8 month lead time allotted was not enough to fully complete the process and have a new contract in place by November 1, 2006. Therefore, a six month extension is being requested. RFP release is scheduled for January 2007.

INFORMATION REGARDING THE APPLICABLE CONTRACT**CONTRACTOR :**

International Business Machines Corporation (IBM)

SERVICE INVOLVED :

Provision of Disaster Recovery Services for the State's Central Computing Facility.

BEGIN DATE :

November 1, 2001

END DATE (including ALL options for term extension) :

April 30, 2007

MAXIMUM LIABILITY (including ALL options for term extension) :

\$2,929,578.00

AGENCY HEAD REQUEST SIGNATURE:(signed by the procuring agency head or
authorized signatory)

On. D. Gentry.

SIGNATURE DATE:

8-30-06



FAX TRANSMITTAL

TO : Bill Ezell, Chief Information Officer
Office for Information Resources **FAX #** 532-0471

FROM : Mitzi Hale, OIR-PCM **FAX #** 741-6164

DATE : August 28, 2006

RFS # 317.30-061

RE : Procurement Endorsement — Amendment #4 to Contract for Disaster Recovery Services

NUMBER OF FAX PAGES (including cover) : 1

The nature and scope of service detailed in the attached service procurement document(s) appears to require Office for Information Resources (OIR) review and support, because the procurement involves information technology or information systems services.

This communication seeks to ensure that OIR is aware of the procurement and has an opportunity to review the matter. Please determine whether OIR is supportive of the procurement. If you have any questions or concerns about this matter, please call Mitzi Hale at 615-741-3735.

Please indicate below your response to this proposed procurement, and return this communication at your earliest convenience (note the return FAX number above).

Thank you for your help.

Attachment(s)

OIR Endorsement :

OIR Chief Information Officer Signature

8/30/06

Date

C O N T R A C T S U M M A R Y S H E E T

RFS Number: 317.30-061	Contract Number: FA-02-14760- <u>03</u>
State Agency: Finance and Administration	Division: OIR-Travis Johnson
Contractor	
International Business Machines Corporation	Contractor Identification Number <input checked="" type="checkbox"/> V- 130871985-44 <input type="checkbox"/> C-

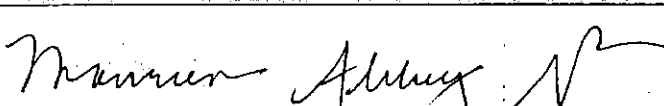
Service Description

Disaster recovery services: amendment clarifies the amendment structure, effects technology changes, and adds Internet access to selected recovery environments.

Contract Begin Date	Contract End Date
November 1, 2001	October 31, 2006

Allotment Code	Cost Center	Object Code	Fund	Grant	Grant Code	Subgrant Code
317.03	940	083	12	<input type="checkbox"/> on STARS		

FY	State Funds	Federal Funds	Interdepartmental Funds	Other Funding	Total Contract Amount (including ALL amendments)
2002			\$160,000.00		\$160,000.00
2003			\$250,000.00		\$250,000.00
2004			\$365,456.00		\$365,456.00
2005			\$733,944.00		\$733,944.00
2006			\$716,368.00		\$716,368.00
2007			\$175,267.00		\$175,267.00
Total:			\$2,401,035.00		\$2,401,035.00

CFDA #	Check the box ONLY if the answer is YES:
State Fiscal Contact	Is the Contractor a SUBRECIPIENT? (per OMB A-133)
Name: Maureen Abbey	Is the Contractor a VENDOR? (per OMB A-133) X
Address: 20 th Floor, Snodgrass TN Tower	Is the Fiscal Year Funding STRICTLY LIMITED?
Phone: 741-6070	Is the Contractor on STARS? X
Procuring Agency Budget Officer Approval Signature	Is the Contractor's FORM W-9 ATTACHED?
	Is the Contractors Form W-9 Filed with Accounts? X

COMPLETE FOR ALL AMENDMENTS (only)			Funding Certification
	Base Contract & Prior Amendments	This Amendment ONLY	Pursuant to T.C.A., Section 9-6-113, I, M. D. Goetz, Jr., Commissioner of Finance and Administration, do hereby certify that there is a balance in the appropriation from which this obligation is required to be paid that is not otherwise encumbered to pay obligations previously incurred.
END DATE →	10/31/06	10/31/06	
FY: 2002	\$160,000.00		
FY: 2003	\$250,000.00		
FY: 2004	\$365,456.00		
FY: 2005	\$733,944.00	\$0.00	
FY: 2006	\$716,368.00	\$0.00	
FY: 2007	\$175,267.00	\$0.00	
Total:	\$2,401,035.00	\$0.00	

RECEIVED
 2005 JUN 21 PM 3:33
 COMPTROLLER'S OFFICE
 OFFICE OF
 MANAGEMENT SERVICES

C O N T R A C T S U M M A R Y S H E E T

RFS Number:	317.30-060	Contract Number:	FA-02-14760- <u>02</u>
State Agency:	Finance and Administration	Division:	OIR-Travis Johnson

Contractor	Contractor Identification Number
International Business Machines Corporation	<input checked="" type="checkbox"/> V- <input type="checkbox"/> C-
	130871985-44

Service Description
Disaster recovery services: amendment modifies the configuration to support TennCare disaster recovery and adds additional dollars.

Contract Begin Date	Contract End Date
November 1, 2001	October 31, 2006

Allotment Code	Cost Center	Object Code	Fund	Grant	Grant Code	Subgrant Code
317.03	940	083	12	<input type="checkbox"/> on STARS		

FY	State Funds	Federal Funds	Interdepartmental Funds	Other Funding	Total Contract Amount (including ALL amendments)
2002			\$160,000.00		\$160,000.00
2003			\$250,000.00		\$250,000.00
2004			\$365,456.00		\$365,456.00
2005			\$733,944.00		\$733,944.00
2006			\$716,368.00		\$716,368.00
2007			\$175,267.00		\$175,267.00
Total:			\$2,401,035.00		\$2,401,035.00

CFDA #		Check the box ONLY if the answer is YES:
State Fiscal Contact		Is the Contractor a SUBRECIPIENT? (per OMB A-133)
Name:	Maureen Abbey	Is the Contractor a VENDOR? (per OMB A-133)
Address:	20 th Floor, Snodgrass TN Tower	<input checked="" type="checkbox"/>
Phone:	741-6070	Is the Fiscal Year Funding STRICTLY LIMITED?
Procuring Agency Budget Officer Approval Signature		Is the Contractor on STARS?
		Is the Contractor's FORM W-9 ATTACHED?
		Is the Contractors Form W-9 Filed with Accounts?
		<input checked="" type="checkbox"/>

COMPLETE FOR ALL AMENDMENTS (only)			Funding Certification	
	Base Contract & Prior Amendments	This Amendment ONLY	Pursuant to T.C.A., Section 9-6-113, I, M. D. Goetz, Jr., Commissioner of Finance and Administration, do hereby certify that there is a balance in the appropriation from which this obligation is required to be paid that is not otherwise encumbered to pay obligations previously incurred.	
END DATE →	10/31/06	10/31/06		
FY: 2002	\$160,000.00			
FY: 2003	\$250,000.00			
FY: 2004	\$365,456.00			
FY: 2005	\$375,456.00	\$358,488.00		
FY: 2006	\$385,456.00	\$330,912.00		
FY: 2007	\$120,115.00	\$55,152.00		
Total:	\$1,656,483.00	\$744,552.00		

RECEIVED
 OCT 10 - 9 PM 2:30
 OFFICE OF
 MANAGEMENT SERVICES

CONTRACT SUMMARY SHEET

RFS Number:	317.30-060	Contract Number:	FA-02-14760-01
State Agency:	FINANCE AND ADMINISTRATION	Division:	OIR-LESLIE HUMPHREYS
Contractor		Contractor Identification Number	
INTERNATION BUSINESS MACHINES CORPORATION		<input checked="" type="checkbox"/> V- <input type="checkbox"/> C-	130871985-44

Service Description

DISASTER RECOVERY SERVICES: AMENDMENT ADDS FUNDING FOR RECOVERY OF PRINT MATERIAL

Contract Begin Date	Contract End Date
NOVEMBER 1, 2001	OCTOBER 31, 2006

Allotment Code	Cost Center	Object Code	Fund	Grant	Grant Code	Subgrant Code
317.03	940	083	12	<input type="checkbox"/> on STARS		

FY	State Funds	Federal Funds	Interdepartmental Funds	Other Funding	Total Contract Amount (including ALL amendments)
02			160,000		160,000
03			250,000		250,000
04			365,456		365,456
05			375,456		375,456
06			385,456		385,456
07			120,115		120,115
Total:			1,656,483		1,656,483

CFDA #	Check the box ONLY if the answer is YES:
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State Fiscal Contact		Is the Contractor a SUBRECIPIENT? (per OMB A-133)
Name:	MAUREEN ABBEY	Is the Contractor a VENDOR? (per OMB A-133) X Is the Fiscal Year Funding STRICTLY LIMITED?
Address:	20 TH FLOOR, SNODGRASS TN TOWER	
Phone:	741-6070	

Procuring Agency Budget Officer Approval Signature	Is the Contractor on STARS?
	Is the Contractor's FORM W-9 ATTACHED?
	Is the Contractors Form W-9 Filed with Accounts? X

COMPLETE FOR ALL AMENDMENTS (only)			Funding Certification	
	Base Contract & Prior Amendments	This Amendment ONLY	Pursuant to T.C.A., Section 9-6-113, I, M. D. Goetz, Jr., Commissioner of Finance and Administration, do hereby certify that there is a balance in the appropriation from which this obligation is required to be paid that is not otherwise encumbered to pay obligations previously incurred. <div style="text-align: center;"> COMPTROLLER OF THE TREASURY OFFICE OF THE COMPTROLLER OF THE TREASURY 200 FIFTH AVENUE, N.W. NASHVILLE, TN 37203-1100 TEL: 615-741-6070 FAX: 615-741-6071 WWW.TN.GOV </div>	
END DATE →	10-31-06	10-31-06		
FY: 02	160,000	0		
FY: 03	250,000	0		
FY: 04	265,000	100,456		
FY: 05	275,000	100,456		
FY: 06	285,000	100,456		
FY: 07	95,000	25,115		
Total:	1,330,000	326,483		

PROCUREMENT METHOD REQUEST


APPROVED


 Commissioner of Finance & Administration

Date: JUN 18 2003

RFS #	317.03-025/FA-02-14760-00	State Agency	Finance and Administration
SERVICE DESCRIPTION:	Business Recovery Services for Print Materials		
BEGIN DATE:	November 1, 2001	END DATE (including all options for term extension):	October 31, 2006
MAXIMUM LIABILITY (including all options for term extension):	\$1,656,483		
PROCUREMENT METHOD (select one)	<input type="checkbox"/> COMPETITIVE NEGOTIATION — <div style="border: 1px solid black; padding: 5px; margin: 5px;"> APPROVAL CRITERIA: (select all applicable) </div> <div style="margin-left: 20px;"> <input type="checkbox"/> public need will not permit the delay incident to the RFP process <i>(attachment 1: justification of why there is insufficient time for an RFP; attachment 2: competitive negotiation procedures & contractor selection criteria)</i> <input type="checkbox"/> no acceptable proposals have been received after an RFP process <i>(attachment: competitive negotiation procedures & contractor selection criteria)</i> <input type="checkbox"/> rates payable for the service is regulated by law <i>(attachment: competitive negotiation procedures & contractor selection criteria)</i> <input type="checkbox"/> the service is that of a legal or expert witness <i>(attachment: competitive negotiation procedures & contractor selection criteria)</i> <input type="checkbox"/> the total procurement cost is less than \$10,000 <i>(attachment: competitive negotiation procedures & contractor selection criteria)</i> </div>		
	<input type="checkbox"/> ALTERNATIVE COMPETITIVE METHOD — Comptroller approval may be required <i>(attachment 1: background information & justification for an alternative procurement method; attachment 2: procedures & criteria for contractor selection)</i>		
	<input checked="" type="checkbox"/> NON-COMPETITIVE NEGOTIATION — with: International Business Machines Incorporated		
	<div style="border: 1px solid black; padding: 5px; margin: 5px;"> APPROVAL CRITERIA: (select one) </div> <div style="margin-left: 20px;"> <input type="checkbox"/> only one uniquely qualified service provider able to provide the service <i>(attachment: background information & justification)</i> <input checked="" type="checkbox"/> use of Non-Competitive Negotiation is in the best interest of the state <i>(attachment: background information & justification)</i> </div>		

AGENCY HEAD SIGNATURE & DATE:


 M.D. Goetz, Jr., Commissioner

6-13-03

PRINTED NAME, TITLE, AGENCY:

M.D. Goetz, Jr., Commissioner

RECEIVED

JUN 18 2003

Office of Contracts Review

#7

original

CONTRACT SUMMARY SHEET

Contract Number	FA-02- 14760-00	State Agency	Finance and Administration
RFS Number	317.03-060	Division	Office for Information Resources Velvet Hunter 741-9664
Contractor		Vendor ID Number	
International Business Machines Corporation		<input checked="" type="checkbox"/> V— <input type="checkbox"/> C—	130871985-44

Service Description
Disaster recovery services

Contract Begin Date	Contract End Date
November 1, 2001	October 31, 2006

Allotment Code	Cost Center	Object Code	Fund	Grant	Grant Code	Subgrant Code
317.03	172	082	12	<input type="checkbox"/> on STARS		

FY	State Funds	Federal Funds	Interdepartmental Funds	Other Funding	Total Contract Amount (including ALL amendments)
02			\$160,000.00		\$160,000.00
03			\$250,000.00		\$250,000.00
04			\$265,000.00		\$265,000.00
05			\$275,000.00		\$275,000.00
06			\$285,000.00		\$285,000.00
07			\$95,000.00		\$95,000.00
Total			\$1,330,000.00		\$1,330,000.00

<input type="checkbox"/>	Fiscal Year Funding Is Strictly Limited	CFDA Number	
<input checked="" type="checkbox"/>	Contractor is on STARS	State Fiscal Contact	
<input checked="" type="checkbox"/>	Current Form W-9 On File With Accounts OR	Name	Maureen Abbey
<input type="checkbox"/>	Form W-9 Attached	Address	20th Floor, Snodgrass TN Tower
		Phone	615-741-6070
<input checked="" type="checkbox"/>	Service Provider Registered with F&A	Procuring Agency Budget Officer Approval Signature	
<input type="checkbox"/>	Contractor is a SUBRECIPIENT (as defined by OMB Circular A-133)		

COMPLETE FOR ALL AMENDMENTS (only)			Funding Certification
	Base Contract & Prior Amendments	This Amendment ONLY	Pursuant to T.C.A., Section 9-6-113, I, C. Warren Neel, Commissioner of Finance and Administration, do hereby certify that there is a balance in the appropriation from which this obligation is required to be paid that is not otherwise encumbered to pay obligations previously incurred.
Contract End Date			
FY			
FY			
FY			
FY			
FY			
Total			

<div style="border: 1px solid black; padding: 5px; display: inline-block;"> OCT 26 2001 DIRECTOR OF ACCOUNTS </div>	<div style="text-align: center;"> OCR Use Only <div style="display: flex; justify-content: space-around;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg);"> RECEIVED OCT 23 PM 2:04 COMPTROLLER'S OFFICE OFFICE OF MANAGEMENT SERVICES </div> </div> </div>
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